Standard Audit Attestation for ANF Autoridad de Certificacion Asociacion, ANF AC

Reference: 2024/ 8606

To whom it may concern,

This is to confirm that "CSQA Certificazioni S.r.l." has audited the CAs of the **ANF Autoridad de Certificación Asociación, ANF AC** without critical findings..

This present Audit Attestation Letter is registered under the unique identifier number 2024/ covers multiple Root-CAs and consists of 12 pages.

Kindly find here below the details accordingly.

In case of any question, please contact:

CSQA Certificazioni S.r.l.
Via S. Gaetano, 74
36016 Thiene (VI), Italy
Email: csqa@csqa.it
Phone: +39 0445 313011

With best regards,

The Chief Executive Officer

This attestation is based on the template version 3.1 as of 2023-08-24, that was approved for use by ACAB-c.

General audit information

Identification of the conformity assessment body (CAB) and assessment organization acting as ETSI auditor
CSQA Certificazioni Srl, Via S. Gaetano, 74, 36016 Thiene (VI) registered under VAT 02603680246   - Accredited by the Italian National Accreditation Body (Accredia – www.accredia.it) under registration 014B for the certification of trust services according to "EN ISO/IEC 17065:2012" and "ETSI EN 319 403 V2.2.2 (2015-08)" and/or "ETSI EN 319 403-1 V2.3.1 (2020-06)"   - respectively.   - Insurance Carrier (BRG section 8.2): Zurich   - Third-party affiliate audit firms involved in the audit: none.
Identification and qualification of the audit team

- Number of team members: 2
- Academic qualifications of team members:
  All team members have formal academic qualifications or professional training or extensive experience indicating general capability to carry out audits based on the knowledge given below and at least four years full time practical workplace experience in information technology, of which at least two years have been in a role or function relating to relevant trust services, public key infrastructure, information security including risk assessment/management, network security and physical security.
- Additional competences of team members:
- All team members have knowledge of
  1) audit principles, practices and techniques in the field of CA/TSP audits gained in a training course of at least five days;

  - 2) the issues related to various areas of trust services, public key infrastructure, information security including risk assessment/management, network security and physical security;
    Academic qualifications of team members:
    All team members have formal academic qualifications or professional training or extensive experience indicating general capability to carry out audits based on the knowledge given below and at least four years full time practical workplace experience in information technology, of which at least two years have been in a role or function relating to relevant trust services, public key infrastructure, information security including risk assessment/management, network security and physical security.

    3) the applicable standards, publicly available specifications and regulatory requirements for CA/TSPs and other relevant publicly available specifications including standards for IT product evaluation; and
    4) the Conformity Assessment Body's processes.
    Furthermore, all team members have language skills appropriate for all organizational levels within the CA/TSP organization; note-taking, report-writing, presentation, and interviewing skills; and relevant personal attributes: objective, mature, discerning, analytical, persistent and realistic.
- Professional training of team members:
  See "Additional competences of team members" above. Apart from that are all team members trained to demonstrate adequate competence in:
  a) knowledge of the CA/TSP standards and other relevant publicly available specifications;
  b) understanding functioning of trust services and information security including network security issues;
  c) understanding of risk assessment and risk management from the business perspective;

d) technical knowledge of the activity to be audited;
e) general knowledge of regulatory requirements relevant to TSPs; and
f) knowledge of security policies and controls.

- Types of professional experience and practical audit experience:
  The CAB ensures, that its personnel performing audits maintains competence on the basis of appropriate education, training or experience; that all relevant experience is current and prior to assuming responsibility for performing as an auditor, the candidate has gained experience in the entire process of CA/TSP auditing. This experience shall have been gained by participating under supervision of lead auditors in a minimum of four TSP audits for a total of at least 20 days, including documentation review, on-site audit and audit reporting.

- Additional qualification and experience Lead Auditor:
  On top of what is required for team members (see above), the Lead Auditor
  a) has acted as auditor in at least three complete TSP audits;
  b) has adequate knowledge and attributes to manage the audit process; and
  c) has the competence to communicate effectively, both orally and in writing.

- Special skills or qualifications employed throughout audit:
  - ISMS Auditor (ISO/IEC 27001)

- Special Credentials, Designations, or Certifications:
  All members are qualified and registered assessors within the accredited CABAuditors code of conduct incl. independence statement:
  Code of Conduct as of Annex A, ETSI EN 319 403 or ETSI EN 319 403-1 respectively.

Identification and qualification of the reviewer performing audit quality management

- Number of Reviewers/Audit Quality Managers involved independent from the audit team: 1
- The reviewer fulfils the requirements as described for the Audit Team Members above and has acted as an auditor in at least three complete CA/TSP audits.

Identification of the CA / Trust Service Provider (TSP):	**ANF Autoridad de Certificación Asociación, ANF AC** Gran Via de les Corts Catalanes, 996 – 08018 BARCELONA Registered under nº G63287510

Type of audit:	☐ Point in time audit ☐ Period of time, after x month of CA operation ☒ Period of time, full audit
Audit period covered for all policies:	2023-03-21 to 2024-03-20
Point in time date:	none, as audit was pot audit
Audit dates:	2024-05-17 to 2024-06-14 (full remote)
Audit location:	Facility 1: Gran Via de les Corts Catalanes, 996 Plantas 3a y 4a - 08018 BARCELONA – España Facility 2: Gran Via de Juan Carlos I, nº 61, entresuelo oficinas 4 y 5. 26005 LOGROÑO - España Facility 3: DC ORANGE - Carrer de Pablo Iglesias, 72, 08908 L'HOSPITALET DE LLOBREGAT – España

	Facility 4: Colegio de Graduados Sociales de Barcelona, Carrer de Còrsega 227 - 08036 BARCELONA – España Facility 5: Informatización de Empresas, Calle Hermanos Becquer, 10 - 1 – 28006 MADRID – España Facility 6: DC Adam - Carrer dels Artesans, 7, 08290 Cerdanyola del Vallès, Barcelona – España

Root 1: ANF Secure Server Root CA

Standards considered:	European Standards: • ETSI EN 319 411-2 V2.4.1 (2021-11) • ETSI EN 319 411-1 V1.3.1 (2021-05) • ETSI EN 319 401 V2.3.1 (2021-05) CA Browser Forum Requirements: • EV TLS Certificate Guidelines, versione 2.0.1 • Baseline Requirements, version 2.0.4 For the Trust Service Provider Conformity Assessment: • ETSI EN 319 403-1 V2.3.1 (2020-06) • ETSI TS 119 403-2 V1.2.4 (2020-11)

The audit was based on the following policy and practice statement documents of the CA / TSP:

- 1. CP Electronic signature certificates OID 1.3.6.1.4.1.18332.3.1, version 1.4, as of 2024-08-07

- 2. CP Electronic Seal Certificates OID 1.3.6.1.4.1.18332.25.1.1, version 1.11 as of 2024-05-15

- 3. CP SSL certificates and Electronic Headquarters OID 1.3.6.1.4.1.18332.55.1.1, version 3.3.6 as of 2024- 03-21

- 4. TP Electronic Signature Certificates OID 1.3.6.1.4.1.18332.3.1.1, version 1.5 as of 2023-08-07

- 5. TP Electronic Seal Certificates OID 1.3.6.1.4.1.18332.3.2.1, version 2.4 as of 2023-11-09

- 6. TP SSL certificates and Electronic Headquarters OID 1.3.6.1.4.1.18332.3.3.1, version 2.6 as of 2022-01- 25

No major or minor non-conformities have been identified during the audit.
For all non-conformities, remediation has been scheduled within three months after the onsite audit at latest and will be covered by a corresponding audit.

This Audit Attestation also covers the following incidents as documented under: No incident detected during the period.

Distinguished Name	SHA-256 fingerprint	Applied policy
CN=ANF Secure Server Root CA, OU=ANF CA Raiz, O=ANF Autoridad de Certificacion, C=ES	FB8FEC759169B9106B1E511644C618C51304373F6C0643088D8BEFFD1B997599	ETSI EN 319 411-1 V1.3.1 (2021-05), DVCP, OVCP ETSI EN 319 411-2 V2.4.1 (2021-11), EVCP, QEVCP-W

Table 1: Root-CA 1 in scope of the audit

The TSP named the Sub-CAs that have been issued by the aforementioned Root-CA, that are listed in the following table and that have been covered in this audit.

Distinguished Name	SHA-256 fingerprint	Applied policy
CN= ANF Secure Server CA, O = ANF Autoridad de Certificación, C = ES	306BF8099636A44FFFB5EEDCE6E30C0F36C7D43F6CCA5A2CA3AB71668F353320	ETSI EN 319 411-1 V1.3.1 (2021-05), DVCP; OVCP; ETSI EN 319 411-2 V2.4.1 (2021-11), EVCP; QEVCP-W

Table 2: Sub-CA's issued by the Root-CA 1 or its Sub-CA's in scope of the audit

Root 2: ANF Global Root CA

Standards considered:	European Standards: • ETSI EN 319 411-2 V2.4.1 (2021-11) • ETSI EN 319 411-1 V1.3.1 (2021-05) • ETSI EN 319 401 V2.3.1 (2021-05) CA Browser Forum Requirements: • EV TLS Certificate Guidelines, versione 2.0.1 • Baseline Requirements, version 2.0.4 For the Trust Service Provider Conformity Assessment: • ETSI EN 319 403-1 V2.3.1 (2020-06) • ETSI TS 119 403-2 V1.2.4 (2020-11)

The audit was based on the following policy and practice statement documents of the CA / TSP:

- 1. CP Electronic signature certificates OID 1.3.6.1.4.1.18332.3.1, version 1.4, as of 2024-08-07

- 2. CP Electronic Seal Certificates OID 1.3.6.1.4.1.18332.25.1.1, version 1.11 as of 2024-05-15

- 3. CP SSL certificates and Electronic Headquarters OID 1.3.6.1.4.1.18332.55.1.1, version 3.3.6 as of 2024- 03-21

- 4. TP Electronic Signature Certificates OID 1.3.6.1.4.1.18332.3.1.1, version 1.5 as of 2023-08-07

- 5. TP Electronic Seal Certificates OID 1.3.6.1.4.1.18332.3.2.1, version 2.4 as of 2023-11-09

- 6. TP SSL certificates and Electronic Headquarters OID 1.3.6.1.4.1.18332.3.3.1, version 2.6 as of 2022-01- 25

No major or minor non-conformities have been identified during the audit.
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Distinguished Name	SHA-256 fingerprint	Applied policy
CN = ANF Global Root CA OU=ANF Clase 1 CA, O=ANF Autoridad de Certificacion C = ES	E0AFBD2C0EE95A68CD9A3C590B2D3FE07C0A6D0BE796AE5291E424D47792178E	ETSI EN 319 411-2 V2.4.1 (2021-11), QCP-N, QCP-N- QSCD, QCP-L, QCP-L-QSCD, QEVCP-w

Table 3: Root-CA 2 in scope of the audit

The TSP named the Sub-CAs that have been issued by the aforementioned Root-CA, that are listed in the following table and that have been covered in this audit.

Distinguished Name	SHA-256 fingerprint	Applied policy
CN= **ANF Assured ID CA1**, O = ANF Autoridad de Certificación, C = ES	AB339B2604E501F7B325EF7E98A69982FE46BB69FF6AB9832665962634FF3C6E	ETSI EN 319 411-2 V2.4.1 (2021-11), QCP-N, QCP-N-QSCD, QCP-L, QCP-LQSCD
CN = **ANF High Assurance AP CA1**, O = ANF Autoridad de Certificación, C = ES	4DC54F4C5BDAC19557066E32F62F8C486B155B00F53D102DE78C98EE61A2C317	ETSI EN 319 411-2 V2.4.1 (2021-11), QCP-N, QCP-N-QSCD, QCP-L, QCP-L-QSCD
CN = ANF High Assurance EV CA1, O = ANF Autoridad de Certificación, C = ES	1C28A8C009F25850B9155533D4A9A14C534B24DA84756E82D6150B5062D63704	ETSI EN 319 411-2 V2.4.1 (2021-11), QEVCP-w; TSU Certificate
CN = ANF AC Qualified Certificates for eSeal OU = Certificados Cualificados de Sello Electronico 2.5.4.97 = VATES-G63287510 O = ANF Autoridad de Certificacion C = ES	3431292A66FB3EA53F8B706EA4D980381CB865DF6C99F0524536545B58CF9FFF	ETSI EN 319 411-2 V2.4.1 (2021-11), QCP-L, QCP-L-QSCD

CN = ANF AC Qualified Certificates for eSignature OU = Certificados Cualificados de Firma Electronica 2.5.4.97 = VATES-G63287510 O = ANF Autoridad de Certificacion C = ES	B7CDF23A73A2DA1B515A64403E90A3B90C45DDFBB2558BC1C12FB9BCFA2E5A23	ETSI EN 319 411-2 V2.4.1 (2021-11), QCP-N, QCP-N-QSCD

Table 4: Sub-CA's issued by the Root-CA 2 or its Sub-CA's in scope of the audit

Root 2: ANF Global Root CA

Standards considered:	European Standards: • ETSI EN 319 411-2 V2.4.1 (2021-11) • ETSI EN 319 411-1 V1.3.1 (2021-05) • ETSI EN 319 401 V2.3.1 (2021-05) CA Browser Forum Requirements: • EV TLS Certificate Guidelines, versione 2.0.1 • Baseline Requirements, version 2.0.4 For the Trust Service Provider Conformity Assessment: • ETSI EN 319 403-1 V2.3.1 (2020-06) • ETSI TS 119 403-2 V1.2.4 (2020-11)

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- 2. CP Electronic Seal Certificates OID 1.3.6.1.4.1.18332.25.1.1, version 1.11 as of 2024-05-15

- 3. CP SSL certificates and Electronic Headquarters OID 1.3.6.1.4.1.18332.55.1.1, version 3.3.6 as of 2024- 03-21

- 4. TP Electronic Signature Certificates OID 1.3.6.1.4.1.18332.3.1.1, version 1.5 as of 2023-08-07

- 5. TP Electronic Seal Certificates OID 1.3.6.1.4.1.18332.3.2.1, version 2.4 as of 2023-11-09

- 6. TP SSL certificates and Electronic Headquarters OID 1.3.6.1.4.1.18332.3.3.1, version 2.6 as of 2022-01- 25

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Distinguished Name	SHA-256 fingerprint	Applied policy
CN = ANF Global Root CA OU=ANF Clase 1 CA, O=ANF Autoridad de Certificacion C = ES	E3268F6106BA8B665A1A962DDEA1459D2A46972F1F2440329B390B895749AD45	ETSI EN 319 411-2 V2.4.1 (2021-11), QCP-N, QCP-N- QSCD, QCP-L, QCP-L-QSCD

Table 5: Root-CA 3 in scope of the audit

The TSP named the Sub-CAs that have been issued by the aforementioned Root-CA, that are listed in the following table and that have been covered in this audit.

Distinguished Name	SHA-256 fingerprint	Applied policy

Table 6: Sub-CA's issued by the Root-CA 3 or its Sub-CA's in scope of the audit

Modifications record

Version	Issuing Date	Changes
Version 1	14.06.2024	Initial attestation

End of the audit attestation letter.