Audit Attestation for
Intesa Sanpaolo S.p.A.

Reference: 2022/762

Thiene, 2021-01-25

To whom it may concern,

This is to confirm that CSQA Certificazioni Srl has audited the CAs of the Intesa Sanpaolo S.p.A. without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number **2022/762** and consists of 8 pages.

Kindly find here below the details accordingly.

In case of any question, please contact:

> **CSQA Certificazioni Srl**
> Via S. Gaetano, 74
> 36016 Thiene (VI), Italy
> Email: csqa@csqa.it
> Phone: +39 0445 313011

With best regards,

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The Chief Executive Officer

This attestation is based on the template version 2.8 as of 2021-04-21, that was approved for use by ACAB-c.

Subject: Audit Attestation

Identification of the conformity assessment body (CAB) and assessment organization:	**CSQA Certificazioni Srl** Via S. Gaetano, 74 36016 Thiene (VI) email: csqa@csqa.it Phone: +39 0445 313011 CSQA Certificazioni Srl is accredited by Italian National Accreditation Body (Accredia – www.accredia.it) for the following: "TSP (Trust Service Provider) and the services they offer compared with (EU Regulation) 910/2014 and / or specific provisions adopted by the national authorities for the services covered by the Accreditation Scheme." (Scheme: PRD; Standard: UNI CEI EN/ISO/IEC 17065:2012; Certificate: N.014B)." The eIDAS Accreditation scheme is available here: http://www.accredia.it/UploadDocs/7015_DC2017SSV046eng.pdf
Identification and qualification of the audit team:	- Lead Auditor: 1 - Number of team members: 1 - Academic qualifications of team members: All team members have formal academic qualifications or professional training or extensive experience indicating general capability to carry out audits based on the knowledge given below and at least four years full time practical workplace experience in information technology, of which at least two years have been in a role or function relating to relevant trust services, public key infrastructure, information security including risk assessment/management, network security and physical security. - Additional competences of team members: All team members have knowledge of 1) audit principles, practices and techniques in the field of CA/TSP audits gained in a training course of at least five days; 2) the issues related to various areas of trust services, public key infrastructure, information security including risk assessment/management, network security and physical security; 3) the applicable standards, publicly available specifications and regulatory requirements for CA/TSPs and other relevant publicly available specifications including standards for IT product evaluation; and 4) the Conformity Assessment Body's processes. Furthermore, all team members have language skills appropriate for all organizational levels within the CA/TSP organization; note-taking, report-writing, presentation, and interviewing skills; and relevant personal attributes: objective, mature, discerning, analytical, persistent and realistic.

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Tavarnelle Val di Pesa (FI) - Catania - Kracow (PL) - Jersey City (USA)

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	- Professional training of team members: See "Additional competences of team members" above. Apart from that are all team members trained to demonstrate adequate competence in: a) knowledge of the CA/TSP standards and other relevant publicly available specifications; b) understanding functioning of trust services and information security including network security issues; c) understanding of risk assessment and risk management from the business perspective; d) technical knowledge of the activity to be audited; e) general knowledge of regulatory requirements relevant to TSPs; and f) knowledge of security policies and controls. - Types of professional experience and practical audit experience: The CAB ensures, that its personnel performing audits maintains competence on the basis of appropriate education, training or experience; that all relevant experience is current and prior to assuming responsibility for performing as an auditor, the candidate has gained experience in the entire process of CA/TSP auditing. This experience shall have been gained by participating under supervision of lead auditors in a minimum of four TSP audits for a total of at least 20 days, including documentation review, on-site audit and audit reporting. - Additional qualification and experience Lead Auditor: On top of what is required for team members (see above), the Lead Auditor a) has acted as auditor in at least three complete TSP audits; b) has adequate knowledge and attributes to manage the audit process; and c) has the competence to communicate effectively, both orally and in writing. - Special skills or qualifications employed throughout audit: - ISMS Auditor (ISO/IEC 27001) - Special Credentials, Designations, or Certifications: All members are qualified and registered assessors within the accredited CAB. Auditors code of conduct incl. independence statement: Code of Conduct as of Annex A, ETSI EN 319 403 or ETSI EN 319 403-1 respectively.
Identification and qualification of the reviewer performing audit quality management:	- Number of Reviewers/Audit Quality Managers involved independent from the audit team: 1 - The reviewer fulfils the requirements as described for the Audit Team Members above and has acted as an auditor in at least three complete CA/TSP audits.

Identification of the trust service provider (TSP):	Intesa Sanpaolo S.p.A.
	VAT (Value Added Tax) IT 10810700152
	NTR (National Trade Register) IT – 00799960158
	Piazza San Carlo, 156 -10121 Torino (TO) Italy, P.I.: IT 10810700152

CA Owner:	AC CAMERFIRMA SA Ribera del Loira, nº 12 28043 Madrid SPAIN.

Audit Period covered for all policies:	2021-11-19 to 2021-12-29
Audit dates:	2022-01-13 to 2022-01-14
Audit Location:	Piazza San Carlo, 156 -10121 Torino (TO) Italy **Facility 1:** Polo Tecnologico Moncalieri – Corso Savona 58, Moncalieri (TO) Italy - Remote Audit **Facility 2**: P.za Luigi da Porto,3, 35131 Padova PD Italy (c/o Infocert SpA)

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Distinguished Name and SHA256 fingerprint of each root and intermediate certificate that was in scope

Below are indicate the details about the conformity assessment body, the trust service provider and each audited Root-CA:

Identification of the audited Root-CA:	CN= Intesa Sanpaolo Organization Validation CA Type: Issuing Related to: CN = GLOBAL CORPORATE SERVER (5b1bee037ba2dbe746c0c254aba150295ff156d7) CN = Global Chambersign Root – 2008 (b909ca9c1edbd36c3a6baeed54f15b9306352e5e)
Distinguished Name	CN = Intesa Sanpaolo Organization Validation CA OU = WSA Trust Service Provider O = Intesa Sanpaolo S.p.A. C = IT
SHA-256 fingerprint	27CDD699DE15EE88A05BB10ED9DF2FC5E4CA25B5FDD42988963A38EC8940D55A
Applied policy	● ETSI EN 319 411-1 v. 1.2.2, (OVCP) ● CAB FORUM Baseline Requirements 1.8.0

Identification of the audited Root-CA:	CN= Intesa Sanpaolo Organization Validation 2019 CA Type: Issuing Related to: CN = GLOBAL CORPORATE SERVER (5b1bee037ba2dbe746c0c254aba150295ff156d7) CN = Global Chambersign Root – 2008 (b909ca9c1edbd36c3a6baeed54f15b9306352e5e)
Distinguished Name	CN = Intesa Sanpaolo Organization Validation CA OU = WSA Trust Service Provider O = Intesa Sanpaolo S.p.A. C = IT
SHA-256 fingerprint	C3E3C2DEE11BF8CFEA56FD5900AFE95CD438D7391DCA55A07BFF44147A35A828
Applied policy	● ETSI EN 319 411-1 v. 1.2.2, (OVCP) ● CAB FORUM Baseline Requirements 1.8.0

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The audit was performed as Period of time Audit. It took place from 2022-01-13 to 2022-01-14 and covered the period from 2021-11-19 until 2021-12-29. The audit was performed according to the European Standards:

- ETSI EN 319 411-1 v. 1.2.2, (OVCP)

- CAB FORUM Baseline Requirements 1.8.0

The audit was performed considering the requirements of the ETSI EN 319 403 for Trust service Provider Conformity Assessment.

The Period of time Audit, was based on the following policy and practice statement documents of the TSP:

1. "CL_SSL INTESA_ISP_14012022" – Checklist
2. "2_campionamento_audit_ssl_ISP_13-01-2022" – certificate sampling
3. "ISP-CBCM-2020-1.8 CPS ISP Organization Validation" – CP/CPS
4. "8_PDV_TSP_SSL_INTESA SANPAOLO_GEN_2022_con nomi " - Visit plan
5. "mail_richiesta_revoca_subca_ISP_OV_a_camerfirma.eml" – Communication of revocation to Camerfirma evidence
6. "Intesa Sanpaolo subCAs revocation.s.pdf" - Revocation letter sent to Camerfirma

No major or minor non-conformities have been identified during the audit.

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Issuing CAs:

Distinguished Name	SHA256 Fingerprint	Applied policy OID	EKU
Intesa Sanpaolo Organization Validation CA	27CDD699DE15EE88A05BB10ED9DF2FC5E4CA25B5FDD42988963A38EC8940D55A	ETSI EN 319 401 v. 2.2.1, (OVCP) ETSI EN 319 411-1 v. 1.2.2, (OVCP) CAB FORUM Baseline Requirements 1.8.0	1.3.6.1.5.5.7.3.1 (id-kp-serverAuth)
Intesa Sanpaolo Organization Validation CA	C3E3C2DEE11BF8CFEA56FD5900AFE95CD438D7391DCA55A07BFF44147A35A828	ETSI EN 319 401 v. 2.2.1, (OVCP) ETSI EN 319 411-1 v. 1.2.2, (OVCP) CAB FORUM Baseline Requirements 1.8.0	1.3.6.1.5.5.7.3.1 (id-kp-serverAuth)

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Modifications record

Version	Issuing Date	Changes
Version 1	2022-01-25	Initial attestation

End of the audit attestation letter.

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