



Audit Attestation for
ANF Certification Authority, S.L.

Reference: 2023/8319

Thiene, 2023-06-30

To whom it may concern

This is to confirm that “CSQA Certificazioni S.r.l.” has audited the CAs of the **ANF Certification Authority, S.L.** without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number 2023/8319 and consists of 7 pages.

Kindly find here below the details accordingly.

In case of any question, please contact:

CSQA Certificazioni S.r.l.
Via S. Gaetano, 74
36016 Thiene (VI), Italy
Email: csqa@csqa.it
Phone: +39 0445 313011

With best regards,

The Chief Executive Officer

Subject: Audit Attestation

<p>Identification of the conformity assessment body (CAB) and assessment organization acting as ETSI auditor:</p>	<ul style="list-style-type: none"> • CSQA Certificazioni S.r.l., Via S. Gaetano, 74, 36016 Thiene (VI) registered under VAT 02603680246 • Accredited by the Italian National Accreditation Body (Accredia – www.accredia.it) under registration 014B for the certification of trust services according to “EN ISO/IEC 17065:2012” and “ETSI EN 319 403 V2.2.2 (2015-08)” and/or “ETSI EN 319 403-1 V2.3.1 (2020-06)” respectively. • Insurance Carrier (BRG section 8.2): Zurich • Third-party affiliate audit firms involved in the audit: none.
<p>Identification and qualification of the audit team:</p>	<ul style="list-style-type: none"> • Number of team members: 3 • Academic qualifications of team members: All team members have formal academic qualifications or professional training or extensive experience indicating general capability to carry out audits based on the knowledge given below and at least four years full time practical workplace experience in information technology, of which at least two years have been in a role or function relating to relevant trust services, public key infrastructure, information security including risk assessment/management, network security and physical security. • Additional competences of team members: All team members have knowledge of <ol style="list-style-type: none"> 1) audit principles, practices and techniques in the field of CA/TSP audits gained in a training course of at least five days; 2) the issues related to various areas of trust services, public key infrastructure, information security including risk assessment/management, network security and physical security; 3) the applicable standards, publicly available specifications and regulatory requirements for CA/TSPs and other relevant publicly available specifications including standards for IT product evaluation; and 4) the Conformity Assessment Body's processes. <p>Furthermore, all team members have language skills appropriate for all organizational levels within the CA/TSP organization; note-taking, report-writing, presentation, and interviewing skills; and relevant personal attributes: objective, mature, discerning, analytical, persistent and realistic.</p> • Professional training of team members: See “Additional competences of team members” above. Apart from that are all team members trained to demonstrate adequate competence in: <ol style="list-style-type: none"> a) knowledge of the CA/TSP standards and other relevant publicly available specifications; b) understanding functioning of trust services and information security including network security issues; c) understanding of risk assessment and risk management from the business perspective; d) technical knowledge of the activity to be audited;

	<p>e) general knowledge of regulatory requirements relevant to TSPs; and f) knowledge of security policies and controls.</p> <ul style="list-style-type: none"> • Types of professional experience and practical audit experience: The CAB ensures, that its personnel performing audits maintains competence on the basis of appropriate education, training or experience; that all relevant experience is current and prior to assuming responsibility for performing as an auditor, the candidate has gained experience in the entire process of CA/TSP auditing. This experience shall have been gained by participating under supervision of lead auditors in a minimum of four TSP audits for a total of at least 20 days, including documentation review, on-site audit and audit reporting. • Additional qualification and experience Lead Auditor: On top of what is required for team members (see above), the Lead Auditor <ul style="list-style-type: none"> a) has acted as auditor in at least three complete TSP audits; b) has adequate knowledge and attributes to manage the audit process; and c) has the competence to communicate effectively, both orally and in writing. • Special skills or qualifications employed throughout audit: <ul style="list-style-type: none"> ○ ISMS Auditor (ISO/IEC 27001) • Special Credentials, Designations, or Certifications: All members are qualified and registered assessors within the accredited CAB. • Auditors code of conduct incl. independence statement: Code of Conduct as of Annex A, ETSI EN 319 403 or ETSI EN 319 403-1 respectively.
<p>Identification and qualification of the reviewer performing audit quality management:</p>	<ul style="list-style-type: none"> • Number of Reviewers/Audit Quality Managers involved independent from the audit team: 1 • The reviewer fulfils the requirements as described for the Audit Team Members above and has acted as an auditor in at least three complete CA/TSP audits.
<p>Identification of the CA / Trust Service Provider (TSP):</p>	<p>ANF Certification Authority, S.L.</p> <p>Paseo de la Castellana, 79 - 28046 MADRID</p> <p>Registered under nº B87341228</p>

Type of audit:	<input checked="" type="checkbox"/> Point in time audit <input type="checkbox"/> Period of time, after x month of CA operation <input type="checkbox"/> Period of time, full audit
Audit period covered for all policies:	none, as audit was pit audit
Point in time date:	2023-06-06
Audit dates:	2023-06-06 to 2023-06-16 (onsite and remote)
Audit location:	Facility 1: Gran Via de les Corts Catalanes, 996 Plantas 3a y 4a - 08018 BARCELONA – España Facility 2: DC ORANGE - Carrer de Pablo Iglesias, 72, 08908 L’HOSPITALET DE LLOBREGAT – España Facility 3: ADAM Data Center PTV, Carrer dels Artesans, 7, 08290 CERDANYOLA DEL VALLÈS – España

Standards considered:	<p>European Standards:</p> <input checked="" type="checkbox"/> ETSI EN 319 411-1, V1.3.1(2021-05) <input checked="" type="checkbox"/> ETSI EN 319 411-2, V2.4.1(2021-11) <input checked="" type="checkbox"/> ETSI EN 319 401, V2.3.1 (2021-05) <p>CA Browser Forum Requirements:</p> <input checked="" type="checkbox"/> EV SSL Certificate Guidelines, version 1.8 <input checked="" type="checkbox"/> Baseline Requirements, version 2.0 <p>For the Trust Service Provider Conformity Assessment:</p> <input checked="" type="checkbox"/> ETSI EN 319 403-1 V2.3.1 (2020-06) <input checked="" type="checkbox"/> ETSI TS 119 403-2 V1.2.4 (2020-11)
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The full annual audit was based on the following policy and practice statement documents of the CA / TSP:

1. CPS Certification Practices Statement of ANF Certification Authority, S.L. OID 1.3.6.1.4.1.49201.1.9.1.1, version 1.0
2. CP Electronic signature certificates OID 1.3.6.1.4.1.49201.3.1, version 1.0
3. CP Electronic Seal Certificates OID 1.3.6.1.4.1.49201.25.1.1, version 1.0
4. CP SSL certificates and Electronic Headquarters OID 1.3.6.1.4.1.49201.55.1.1, version 1.0
5. TP Electronic Signature Certificates OID 1.3.6.1.4.1.49201.3.1.1, version 1.0
6. TP Electronic Seal Certificates OID 1.3.6.1.4.1.49201.3.2.1, version 1.0
7. TP SSL certificates and Electronic Headquarters OID 1.3.6.1.4.1.49201.3.3.1, version 1.0

No major or minor non-conformities have been identified during the audit.

This Audit Attestation also covers the following incidents as documented under:

No incident detected during the period.



Distinguished Name	SHA-256 fingerprint	Applied policy and OID
CN=ANF AC SL Root CA, O=ANF Certification Authority, S.L., C=ES	F8D86A96B7B215C5A8789697264104D296B45B5C79CB4158EE93A150E14DC9A4	ETSI EN 319 411-2 V2.4.1 (2021-11), QCP-N, QCP-N-QSCD, QCP-L, QCP-L-QSCD, QEVCP-w

Table 1: Root-CA in scope of the audit

The TSP named the Sub-CAs that have been issued by the aforementioned Root-CA, that are listed in the following table and that have been covered in this audit.

Distinguished Name	SHA-256 fingerprint	Applied policy and OID	EKU
CN=ANF Qualified for eSignature CA, O=ANF Certification Authority, S.L., OU=Qualified Certificates for electronic signature, C=ES	5999789A17DF8674D098DB057DF8ED3764E96529D4A0EB56246DE3C46FB0E28A	ETSI EN 319 411-2 V2.4.1 (2021-11) QCP-n-qscd, QCP-n	not defined
CN=ANF Qualified for eSeal CA, O=ANF Certification Authority, S.L., OU=Qualified Certificates for electronic seal, C=ES	16AD38142BF3D07D421B7393E699444240B178F9480EAF37E0EDA83365C539CF	ETSI EN 319 411-2 V2.4.1 (2021-11) QCP-l, QCP-l-qscd	not defined
CN=ANF Secure SSL CA, O=ANF Certification Authority, S.L., C=ES	79A49F18EA13D923457C3B9F63DD92AE33252990E4DA281B63412034C747B3B9	ETSI EN 319 411-1 V1.3.1 (2021-05) EVCP, OVCP, DVCP ETSI EN 319 411-2 V2.4.1 (2021-11) QEVCP-w	1.3.6.1.5.5.7.3.1 (id-kp-serverAuth) 1.3.6.1.5.5.7.3.2 (id-kp-clientAuth)

Table 2: Sub-CA's issued by the Root-CA or its Sub-CA's in scope of the audit



Modifications record

Version	Issuing Date	Changes
Version 1	2023-06-30	Initial attestation

End of the audit attestation letter.