



**Audit Attestation for**

**ANF Autoridad de Certificación Asociación, ANF AC**

**Reference: 2023/5328**

Thiene, 2023-05-12

To whom it may concern

This is to confirm that “CSQA Certificazioni S.r.l.” has audited the CAs of the **ANF Autoridad de Certificación Asociación, ANF AC** without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number 2023/5328 and consists of 8 pages.

Kindly find here below the details accordingly.

In case of any question, please contact:

**CSQA Certificazioni S.r.l.**  
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Phone: +39 0445 313011

With best regards,

The Chief Executive Officer

**Subject:** Audit Attestation

<p>Identification of the conformity assessment body (CAB) and assessment organization acting as ETSI auditor:</p>	<ul style="list-style-type: none"> <li>• <b>CSQA Certificazioni Srl</b>, Via S. Gaetano, 74, 36016 Thiene (VI) registered under VAT 02603680246</li> <li>• Accredited by the Italian National Accreditation Body (Accredia – <a href="http://www.accredia.it">www.accredia.it</a>) under registration <a href="#">014B</a> for the certification of trust services according to “EN ISO/IEC 17065:2012” and “ETSI EN 319 403 V2.2.2 (2015-08)” and/or “ETSI EN 319 403-1 V2.3.1 (2020-06)” respectively.</li> <li>• Insurance Carrier (BRG section 8.2): Zurich</li> <li>• Third-party affiliate audit firms involved in the audit: none.</li> </ul>
<p>Identification and qualification of the audit team:</p>	<ul style="list-style-type: none"> <li>• Number of team members: 3</li> <li>• Academic qualifications of team members: All team members have formal academic qualifications or professional training or extensive experience indicating general capability to carry out audits based on the knowledge given below and at least four years full time practical workplace experience in information technology, of which at least two years have been in a role or function relating to relevant trust services, public key infrastructure, information security including risk assessment/management, network security and physical security.</li> <li>• Additional competences of team members: All team members have knowledge of             <ol style="list-style-type: none"> <li>1) audit principles, practices and techniques in the field of CA/TSP audits gained in a training course of at least five days;</li> <li>2) the issues related to various areas of trust services, public key infrastructure, information security including risk assessment/management, network security and physical security;</li> <li>3) the applicable standards, publicly available specifications and regulatory requirements for CA/TSPs and other relevant publicly available specifications including standards for IT product evaluation; and</li> <li>4) the Conformity Assessment Body's processes.</li> </ol> <p>Furthermore, all team members have language skills appropriate for all organizational levels within the CA/TSP organization; note-taking, report-writing, presentation, and interviewing skills; and relevant personal attributes: objective, mature, discerning, analytical, persistent and realistic.</p> </li> <li>• Professional training of team members: See “Additional competences of team members” above. Apart from that are all team members trained to demonstrate adequate competence in:             <ol style="list-style-type: none"> <li>a) knowledge of the CA/TSP standards and other relevant publicly available specifications;</li> <li>b) understanding functioning of trust services and information security including network security issues;</li> <li>c) understanding of risk assessment and risk management from the business perspective;</li> <li>d) technical knowledge of the activity to be audited;</li> </ol> </li> </ul>

	<p>e) general knowledge of regulatory requirements relevant to TSPs; and f) knowledge of security policies and controls.</p> <ul style="list-style-type: none"> <li>• Types of professional experience and practical audit experience: The CAB ensures, that its personnel performing audits maintains competence on the basis of appropriate education, training or experience; that all relevant experience is current and prior to assuming responsibility for performing as an auditor, the candidate has gained experience in the entire process of CA/TSP auditing. This experience shall have been gained by participating under supervision of lead auditors in a minimum of four TSP audits for a total of at least 20 days, including documentation review, on-site audit and audit reporting.</li> <li>• Additional qualification and experience Lead Auditor: On top of what is required for team members (see above), the Lead Auditor             <ul style="list-style-type: none"> <li>a) has acted as auditor in at least three complete TSP audits;</li> <li>b) has adequate knowledge and attributes to manage the audit process; and</li> <li>c) has the competence to communicate effectively, both orally and in writing.</li> </ul> </li> <li>• Special skills or qualifications employed throughout audit:             <ul style="list-style-type: none"> <li>○ ISMS Auditor (ISO/IEC 27001)</li> </ul> </li> <li>• Special Credentials, Designations, or Certifications: All members are qualified and registered assessors within the accredited CAB.</li> <li>• Auditors code of conduct incl. independence statement: Code of Conduct as of Annex A, ETSI EN 319 403 or ETSI EN 319 403-1 respectively.</li> </ul>
<p>Identification and qualification of the reviewer performing audit quality management:</p>	<ul style="list-style-type: none"> <li>• Number of Reviewers/Audit Quality Managers involved independent from the audit team: 1</li> <li>• The reviewer fulfils the requirements as described for the Audit Team Members above and has acted as an auditor in at least three complete CA/TSP audits.</li> </ul>
<p>Identification of the CA / Trust Service Provider (TSP):</p>	<p><b>ANF Autoridad de Certificación Asociación, ANF AC</b></p> <p>Gran Via de les Corts Catalanes, 996 – 08018 BARCELONA</p> <p>Registered under nº G63287510</p>

Type of audit:	<input type="checkbox"/> Point in time audit <input type="checkbox"/> Period of time, after x month of CA operation <input checked="" type="checkbox"/> Period of time, full audit
Audit period covered for all policies:	2022-03-27 to 2023-03-21
Point in time date:	none, as audit was pot audit
Audit dates:	2023-03-21 to 2023-04-07 (onsite and remote)
Audit location:	Facility 1: Gran Via de les Corts Catalanes, 996 Plantas 3a y 4a - 08018 BARCELONA – España Facility 2: Presidente Leopoldo Calvo Sotelo, 36 - 26003 LOGROÑO - España Facility 3: DC ORANGE - Carrer de Pablo Iglesias, 72, 08908 L’HOSPITALET DE LLOBREGAT – España Facility 4: Colegio de Graduados Sociales de Barcelona, Carrer de Còrsega 227 - 08036 BARCELONA – España Facility 5: Informatización de Empresas, Calle Hermanos Becquer, 10 - 1 – 28006 MADRID - España

Standards considered:	<p>European Standards:</p> <input checked="" type="checkbox"/> ETSI EN 319 411-1, V1.3.1(2021-05) <input checked="" type="checkbox"/> ETSI EN 319 411-2, V2.4.1(2021-11) <input checked="" type="checkbox"/> ETSI EN 319 401, V2.3.1 (2021-05) <p>CA Browser Forum Requirements:</p> <input checked="" type="checkbox"/> EV SSL Certificate Guidelines, version 1.7.8 <input checked="" type="checkbox"/> Baseline Requirements, version 1.8.0 <p>For the Trust Service Provider Conformity Assessment:</p> <input checked="" type="checkbox"/> ETSI EN 319 403-1 V2.3.1 (2020-06) <input checked="" type="checkbox"/> ETSI TS 119 403-2 V1.2.4 (2020-11)
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The full annual audit was based on the following policy and practice statement documents of the CA / TSP:

1. CP Electronic signature certificates OID 1.3.6.1.4.1.18332.3.1, version 1.3, as of 2023-02-22
2. CP Electronic Seal Certificates OID 1.3.6.1.4.1.18332.25.1.1, version 1.10 as of 2023-02-22
3. CP SSL certificates and Electronic Headquarters OID 1.3.6.1.4.1.18332.55.1.1, version 3.3.5 as of 2023-02-22
4. TP Electronic Signature Certificates OID 1.3.6.1.4.1.18332.3.1.1, version 1.3 as of 2022-02-01
5. TP Electronic Seal Certificates OID 1.3.6.1.4.1.18332.3.2.1, version 2.2 as of 2022-02-01
6. TP SSL certificates and Electronic Headquarters OID 1.3.6.1.4.1.18332.3.3.1, version 2.6 as of 2022-01-25

In the following areas, non-conformities have been identified throughout the audit:

Findings with regard to ETSI EN 319 411-1:

“Acta simulacro plan contingencias” 03/18/2023 - the test done for the provider replacement scenario, didn't consider all possible extra time.

For all non-conformities, remediation has been scheduled within three months after the onsite audit at latest and will be covered by a corresponding audit.

This Audit Attestation also covers the following incidents as documented under:

No incident detected during the period.



Distinguished Name	SHA-256 fingerprint	Applied policy and OID
CN=ANF Secure Server Root CA, OU=ANF CA Raiz, O=ANF Autoridad de Certificacion, C=ES	FB8FEC759169B9106B1E511644C618C51304373F6C0643088D8BEFFD1B997599	ETSI EN 319 411-1 V1.3.1 (2021-05), DVCP, OVCP ETSI EN 319 411-2 V2.4.1 (2021-11), EVCP, QEVCP-W
CN = ANF Global Root CA OU=ANF Clase 1 CA, O=ANF Autoridad de Certificacion C = ES	E0AFBD2C0EE95A68CD9A3C590B2D3FE07C0A6D0BE796AE5291E424D47792178E	ETSI EN 319 411-2 V2.4.1 (2021-11), QCP-N, QCP-N-QSCD, QCP-L, QCP-L-QSCD, QEVCP-w
CN = ANF Global Root CA OU=ANF Clase 1 CA, O=ANF Autoridad de Certificacion C = ES	E3268F6106BA8B665A1A962DDEA1459D2A46972F1F2440329B390B895749AD45	ETSI EN 319 411-2 V2.4.1 (2021-11), QCP-N, QCP-N-QSCD, QCP-L, QCP-L-QSCD

**Table 1: Root-CA in scope of the audit**

The TSP named the Sub-CAs that have been issued by the aforementioned Root-CA, that are listed in the following table and that have been covered in this audit.

Distinguished Name	SHA-256 fingerprint	Applied policy and OID	EKU
CN= ANF Secure Server CA, O = ANF Autoridad de Certificación, C = ES	306BF8099636A44FFFB5EEDCE6E30C0F36C7D43F6CCA5A2CA3AB71668F353320	ETSI EN 319 411-1 V1.3.1 (2021-05), DVCP; OVCP; ETSI EN 319 411-2 V2.4.1 (2021-11), EVCP; QEVCP-W	id-kp-serverAuth (1.3.6.1.5.5.7.3.1) id-kp-clientAuth (1.3.6.1.5.5.7.3.2)



CN= ANF Assured ID CA1, O = ANF Autoridad de Certificación, C = ES	AB339B2604E501F7B325EF7E98A69982FE46BB69FF6AB9832665962634FF3C6E	ETSI EN 319 411-2 V2.4.1 (2021-11), QCP-N, QCP-N-QSCD, QCP-L, QCP-L-QSCD	not defined
CN = ANF High Assurance AP CA1, O = ANF Autoridad de Certificación, C = ES	4DC54F4C5BDAC19557066E32F62F8C486B155B00F53D102DE78C98EE61A2C317	ETSI EN 319 411-2 V2.4.1 (2021-11), QCP-N, QCP-N-QSCD, QCP-L, QCP-L-QSCD	not defined
CN = ANF High Assurance EV CA1, O = ANF Autoridad de Certificación, C = ES	1C28A8C009F25850B9155533D4A9A14C534B24DA84756E82D6150B5062D63704	ETSI EN 319 411-2 V2.4.1 (2021-11), QEVCP-w; TSU Certificat	not defined
CN= ANF Assured ID CA1, O = ANF Autoridad de Certificación, C = ES	FBE0EC01179E8F99CC58BFD2538AB11E757D18C6437A8DC9651910F4453CD4C5	ETSI EN 319 411-2 V2.4.1 (2021-11), QCP-N, QCP-N-QSCD, QCP-L, QCP-L-QSCD	not defined
CN = ANF High Assurance AP, CA1, O = ANF Autoridad de Certificación, C = ES	42FC653B8E311DFE6B0E0BD00CDC11BD67CFCDDB7D5940E7DB8B8F21F16A9FE09	ETSI EN 319 411-2 V2.4.1 (2021-11), QCP-N, QCP-N-QSCD, QCP-L, QCP-L-QSCD	not defined
CN = ANF High Assurance EV CA1, O = ANF Autoridad de Certificación, C = ES	A2F5B12C749106310A55F02C17ADFF76690BAEA7495F86A351F801EBE7F5E50B	TSU Certificate	not defined
ANF AC Qualified eSignature Certificate, O = ANF Autoridad de Certificación, C = ES	E44EC88941079852E0E6C9A118D0BCF278A7E3AD30B84B97B93FBB6229425BA3	ETSI EN 319 411-2 V2.4.1 (2021-11), QCP-N , QCP-N-QSCD	not defined
ANF AC Qualified eSeal Certificate, O = ANF Autoridad de Certificación, C = ES	F07373E6B0C9122F51DBC3C5AB023BDFECCC39C1E37579749A5C54ADF5DB1B93	ETSI EN 319 411-2 V2.4.1 (2021-11), QCP-L, QCP-L-QSCD	not defined

**Table 2: Sub-CA's issued by the Root-CA or its Sub-CA's in scope of the audit**



**Modifications record**

Version	Issuing Date	Changes
Version 1	2023-05-12	Initial attestation

**End of the audit attestation letter.**