



Audit Attestation for Zucchetti S.p.A.

Reference: 2022/2227

Thiene, 2022-02-14

To whom it may concern,

This is to confirm that CSQA has successfully audited the “**Issuing of qualified certificates services**” of the Zucchetti S.p.A. without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number **2022/2227** and consists of 6

Kindly find here below the details accordingly.

In case of any question, please contact:

CSQA Certificazioni Srl

Via S. Gaetano, 74

36016 Thiene (VI), Italy

E-mail: csqa@csqa.it

Phone: +39 0445 313011

With best regards,

The Chief Executive Officer

This attestation is based on the template version 2.8 as of 2021-04-21, that was approved for use by ACAB-c.



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Subject: Audit Attestation

<p>Identification of the conformity assessment body (CAB) and assessment organization:</p>	<p>CSQA Certificazioni Srl</p> <p>Via S. Gaetano, 74</p> <p>36016 Thiene (VI)</p> <p>email: csqa@csqa.it</p> <p>Phone: +39 0445 313011</p> <p>CSQA Certificazioni Srl is accredited by Italian National Accreditation Body (Accredia – www.accredia.it) for the following: “TSP (Trust Service Provider) and the services they offer compared with (EU Regulation) 910/2014 and / or specific provisions adopted by the national authorities for the services covered by the Accreditation Scheme.” (Scheme: PRD; Standard: UNI CEI EN/ISO/IEC 17065:2012; Certificate: N.014B).” The eIDAS Accreditation scheme is available here: http://www.accredia.it/UploadDocs/7015_DC2017SSV046eng.pdf</p>
<p>Identification and qualification of the audit team:</p>	<ul style="list-style-type: none"> • Lead Auditor: Mr. Andrea Castello • Number of team members: 1 • Academic qualifications of team members: All team members have formal academic qualifications or professional training or extensive experience indicating general capability to carry out audits based on the knowledge given below and at least four years full time practical workplace experience in information technology, of which at least two years have been in a role or function relating to relevant trust services, public key infrastructure, information security including risk assessment/management, network security and physical security. • Additional competences of team members: All team members have knowledge of <ol style="list-style-type: none"> 1) audit principles, practices and techniques in the field of CA/TSP audits gained in a training course of at least five days; 2) the issues related to various areas of trust services, public key infrastructure, information security including risk assessment/management, network security and physical security; 3) the applicable standards, publicly available specifications and regulatory requirements for CA/TSPs and other relevant publicly available specifications including standards for IT product evaluation; and 4) the Conformity Assessment Body's processes. <p>Furthermore, all team members have language skills appropriate for all organizational levels within the CA/TSP organization; note-taking, report-writing, presentation, and interviewing skills; and relevant personal attributes: objective, mature, discerning, analytical, persistent and realistic.</p>

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	<ul style="list-style-type: none"> • Professional training of team members: See “Additional competences of team members” above. Apart from that are all team members trained to demonstrate adequate competence in: <ul style="list-style-type: none"> a) knowledge of the CA/TSP standards and other relevant publicly available specifications; b) understanding functioning of trust services and information security including network security issues; c) understanding of risk assessment and risk management from the business perspective; d) technical knowledge of the activity to be audited; e) general knowledge of regulatory requirements relevant to TSPs; and f) knowledge of security policies and controls. • Types of professional experience and practical audit experience: The CAB ensures, that its personnel performing audits maintains competence on the basis of appropriate education, training or experience; that all relevant experience is current and prior to assuming responsibility for performing as an auditor, the candidate has gained experience in the entire process of CA/TSP auditing. This experience shall have been gained by participating under supervision of lead auditors in a minimum of four TSP audits for a total of at least 20 days, including documentation review, on-site audit and audit reporting. • Additional qualification and experience Lead Auditor: On top of what is required for team members (see above), the Lead Auditor <ul style="list-style-type: none"> a) has acted as auditor in at least three complete TSP audits; b) has adequate knowledge and attributes to manage the audit process; and c) has the competence to communicate effectively, both orally and in writing. • Special skills or qualifications employed throughout audit: <ul style="list-style-type: none"> ○ ISMS Auditor (ISO/IEC 27001) • Special Credentials, Designations, or Certifications: All members are qualified and registered assessors within the accredited CAB. <p>Auditors code of conduct incl. independence statement: Code of Conduct as of Annex A, ETSI EN 319 403 or ETSI EN 319 403-1 respectively.</p>
<p>Identification and qualification of the reviewer performing audit quality management:</p>	<ul style="list-style-type: none"> • Number of Reviewers/Audit Quality Managers involved independent from the audit team: 1 • The reviewer fulfils the requirements as described for the Audit Team Members above and has acted as an auditor in at least three complete CA/TSP audits.

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CA Owner:	Zucchetti S.p.A.
Audit Period covered for all policies:	Point-in-time Audit
Audit dates:	2022-02-02 – 2022-02-03 (Remote Audit)
Audit Location:	Via Solferino 1, 26900 Lodi (LO) – Remote Audit Facility 1: Corso Vittorio Emanuele II, 21 – 26900 Lodi (LO) – Remote Audit Facility 2: Via Polenghi Lombardo, 13 – 26900 Lodi (LO) – Remote Audit Facility 3: Infocert SpA, Corso Stati Uniti, 14 - 35127 Padova (PD) – Remote Audit Facility 4: Infocert SpA, Via F. Malavolti, 5, 41122 Modena (MO) – Remote Audit

Distinguished Name and SHA256 fingerprint of each root and intermediate certificate that was in scope
 Below are indicate the details about the conformity assessment body, the trust service provider and each audited Root-CA:

Identification of the audited Root-CA:	Zucchetti Advanced Electronic Signature CA 2
Distinguished Name	CN = Zucchetti Advanced Electronic Signature CA 2, 2.5.4.97 = VATIT-05006900962 OU = Trust Service Provider O = Zucchetti S.p.A. C = IT
SHA-256 fingerprint	75FFCBA48196E201F8CBA24C812ED2C585BB03EF22F176FD7F33A5EF0789D747
Applied policy	1.3.76.45.1.1.8 (NCP+)

The audit was performed as Point-in-time Audit in remote mode. It took place from 2022-02-02 to 2022-02-03. The audit was performed according to the European Standards:

- ETSI EN 319 401 V2.2.1
- ETSI EN 319 411-1 V1.2.2
- ETSI EN 319 412-2 V2.1.1
- ETSI EN 319 403-1 V2.3.1
- ETSI TS 119 403-2 V1.2.4

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The Point-in-time Audit in remote mode, was based on the following policy and practice statement documents of the TSP: Manuale Operativo ZUCCHETTI-MO-FEA Vers. 1.0 31/01/2022

In the following areas, non-conformities have been identified throughout the audit:

Findings with regard to ETSI EN 319 401 - REQ-5-01

IT: L'analisi del rischio (verificato report analisi 2021) non risulta aggiornata tenendo in considerazione gli eventuali rischi riferiti al servizio di Firma elettronica Avanzata e alle nuove modalità di riconoscimento introdotte.

E: The risk analysis (verified 2021 analysis report) is not yet updated taking into account any risks related to the Advanced Electronic Signature service and the new recognition methods introduced.

For all minor non-conformities, remediation has been scheduled within three months after the onsite audit at latest and will be covered by a corresponding audit.

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Modifications record

Version	Issuing Date	Changes
Version 1	2022-02-14	Initial attestation

End of the audit attestation letter.

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